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PTO/SB/05 (11-00) Approved for use through 10/31/2002. OMB 0651-0032

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## **UTILITY** PATENT APPLICATION **TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.		081313-01			
First Inventor		Peter N. HELLER et al.			
Title	A MULTIM	IODE MULTICARRIER			
THE	MODEM SYSTEM AND METHOD OF				
	COMMUNI	CATION OVER THE SAME			

				Expr	ess Mail Label No	).		
APPLICATION ELEMENTS				Commissioner for Patents				
				ADDRESS TO: Box Patent Application Washington, DC 20231				
See MPEP chapter 600 concerning utility patent application contents.  1.			Supply (	7. □ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. □ Computer Readable Form (CRF)  b. Specification Sequence Listing on:  i. □ CD-ROM or CD-R (2 copies; or ii. □ paper  c. □ Statements verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. □ Assignment Papers (cover sheet & document(s))  10. □ 37 CFR 3.73(b) Statement □ Power of (when there is an assignee) Attorney  11. □ English Translation Document (if applicable)  12. □ Information Disclosure □ Copies of IDS Statement (IDS)/PTO-1449 Citations  13. □ Preliminary Amendment  14. ☑ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. □ Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. □ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its equivalent.				
or in an Application D	ata Sheet under 37	CFR 1.76:						
	☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:/							
Prior application information: Examiner Group / Art Unit:								
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.								
19. CORRESPONDENCE ADDRESS								
Customer Number or Bar Code Label (Insert Customer No. or Attach bar code)		bar code	or					
Name	Jason H. VICK							
Address	NIXON PEABO		00					
Address 8180 Greensboro Drive, Suite 800  City McLean State VA			Zip Code 22102			22102		
Country	United States	Tel	1		90-9110	Fax	x	(703) 883-0370
Name (Print/Type)	(Print/Type) Jason H. VICK Registration No. (Attorney/Agent)							45,285
Signature						Date	Ma	v 23, 2001

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PTO/SB/17 (09-00) d for use through 10/31/2002. OMB 0651-0032 Office; U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trad Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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Application Number May 23, 2001 Filing Date Peter N. Heller et al. First Named Inventor **Examiner Name** Group Art Unit

Telephone

May 23, 2001

Date

081513-61

Complete if Known

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

Name (Print/Type)

Signature

\$1,110.00 Attorney Docket No.

METHOD OF PAYMENT FEE CALCULATION (continued)					
1. E The Commissioner is hereby authorized to charge indicated	3. ADDITIONAL FEES				
fees and credit any overpayments to:	Large Entity Small Entity				
Deposit Account 19-2380 Number	Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid				
· · · · · · · · · · · · · · · · · · ·	105 130 205 65 Surcharge – late filing fee or oath	1			
Deposit Account Name  Nixon Peabody LLP	127 50 227 25 Surcharge – late provisional filing fee or cover sheet	ĺ			
Name	139 130 139 130 Non-English transaction	ł			
Charge Any Additional Fee Required	147 2,520 147 2,520 For filing a request for ex parte reexamination	1			
Under 37 CFR 1.16 and 1.17	112 920* 112 920* Requesting publication of SIR prior to Examiner	1			
Applicant claims small entity status.  See 37 CFR 1.27	action 113 1,840* 1131,840* Requesting publication of SIR after Examiner				
2. 🗵 Payment Enclosed:	action 115 110 215 55 Extension for reply within first month	ł			
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FEE CALCULATION	118 1,390 218 695 Extension for reply within fourth month	-			
A. BASIC FILING FEE Large Entity Small Entity	128 1,890 228 945 Extension for reply within fifth month	1			
Fee Fee Fee Fee Fee Description	119 310 219 155 Notice of Appeal				
Code (\$) Code (\$) Fee Paid	120 310 220 155 Filing a brief in support of an appeal				
101 710 201 355 Utility filing fee \$710.00	121 270 221 135 Request for oral hearing				
106 320 206 160 Design filing fee 107 490 207 245 Plant filing fee	138 1,510 138 1,510 Petition to institute a public use proceeding				
107 490 207 245 Plant filing fee	140 110 240 55 Petition to revive – unavoidable				
108 710 208 355 Reissue filing fee	141 1,240 241 620 Petition to revive – unintentional	1			
114 150 214 75 Provisional filing	142 1,240 242 620 Utility issue fee (or reissue)	1			
fee					
<u> </u>	143 440 243 220 Design issue fee				
SUBTOTAL (1) \$710.00	144 600 244 300 Plant issue fee				
2, EXTRA CLAIM FEES	122 130 122 130 Petitions to the Commissioner				
Fee from	123 50 123 50 Petitions related to provisional applications				
Total Claims 18 -20** = X = 0	126 180 126 180 Submission of Information Disclosure Strnt				
Independent 8 -3** = 5 X \$80.00 = \$400.00 Claims	581 40 581 40 Recording each patent assignment per property (times number of properties)				
Multiple Dependent =	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
Large Entity Small Entity Fee Fee Fee Fee Description	149 710 249 355 For each additional invention to be examined (37 CFR § 1.29(b))				
Code (\$) Code (\$)	179 710 249 355 Request for Continued Examination (RCE)				
103 18 203 9 Claims in excess of 20	169 900 169 900 Request for expedited examination of a design application				
102 80 202 40 Independent claims in excess of 3	Other fee (specify)	J			
104 270 204 135 Multiple dependent claim, if not paid		,			
109 80 209 40 ** Reissue independent claims over original patent	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2) \$400.00					
**or number previously paid, if greater; For Reissues, see above					
SUBMITTED BY	Complete (if applicable)				
Name (Print/Type) Jason H. VICK	Registration No. 45,285 Telephone 703-790-9110	$\neg$			

(Attorney/Agent)